

# Some decomposition of $L^p$ -vector fields and its application to the Navier-Stokes equations

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## 1 Introduction.

Let  $\Omega$  be a bounded domain in  $\mathbb{R}^3$  with  $C^\infty$ -boundary  $\partial\Omega$ . It is well known that every vector field  $u$  in  $L^r(\Omega)$ ,  $1 < r < \infty$ , can be uniquely represented as

$$(1.1) \quad u = v + \nabla p,$$

where  $v \in L^r(\Omega)$  with  $\operatorname{div} v = 0$  in the sense of distributions in  $\Omega$  with  $v \cdot \nu = 0$  on  $\partial\Omega$ , and  $p \in W^{1,r}(\Omega)$ . Here and in what follows,  $\nu$  denotes the unit outer normal to  $\partial\Omega$ . For smooth vector fields in  $\Omega$ , Weyl [27] proved such a decomposition as an orthogonal sum  $L^2(\Omega)$ . The case for more general  $L^r$ -vector fields was treated by Fujiwara-Morimoto [10], Solonnikov [23] and Simader-Sohr [21]. It should be noted that (1.1) holds for all  $u \in L^r(\Omega)$ , so we can define the projection operator  $P_r$  by  $P_r u = v$  which plays an important role for investigation into the Navier-Stokes equations. In this article, we shall prove more precise decomposition for  $v$  in (1.1):

$$(1.2) \quad v = h + \operatorname{rot} w,$$

where  $w \in W^{1,r}(\Omega)$  with  $w \times \nu = 0$  on  $\partial\Omega$ , and where  $h \in C^\infty(\bar{\Omega})$  satisfies  $\operatorname{rot} h = 0$ ,  $\operatorname{div} h = 0$  in  $\Omega$  with  $h \cdot \nu = 0$  on  $\partial\Omega$ . This may be regarded as the generalization of the de Rham-Hodge-Kodaira orthogonal decomposition in  $L^2$  for  $C^\infty$   $p$ -forms  $\Lambda^p(M)$  on compact Riemannian  $n$ -manifolds  $(M, g)$  without boundary

$$(1.3) \quad \Lambda^p(M) = H^p(M) \oplus d(\Lambda^{p-1}(M)) \oplus \delta(\Lambda^{p+1}(M)), \quad p = 1, \dots, n-1,$$

where  $d$  and  $\delta$  denote the exterior differentiation and its formal adjoint operator, respectively, and  $H^p(M) = \{h \in \Lambda^p(M); dh = 0, \delta h = 0\}$ . Our decomposition (1.2) holds for all  $u \in L^r(\Omega)$  with  $1 < r < \infty$  and for all smooth bounded domains  $\Omega$  in  $\mathbb{R}^3$ . In the case  $\Omega$  has a certain topological type, similar decomposition to (1.2) in  $L^2(\Omega)$  was investigated by Foias-Temam [8] and Yoshida-Giga [28]. However, their characterization of orthogonal complement of harmonic vector fields is different from ours.

To prove (1.2), the vector potential  $w$  is formally obtained from the boundary value problem

$$\begin{cases} -\operatorname{rot} \operatorname{rot} w = \operatorname{rot} u & \text{in } \Omega, \\ w \times \nu = 0 & \text{on } \partial\Omega. \end{cases}$$

It should be noted that this is not an elliptic system for  $w$ . Hence, to recover ellipticity, we need to impose on  $w$  the following additional condition:

$$(1.4) \quad \begin{cases} -\operatorname{rot} \operatorname{rot} w = \operatorname{rot} u & \text{in } \Omega, \\ \operatorname{div} w = 0 & \text{in } \Omega, \\ w \times \nu = 0 & \text{on } \partial\Omega. \end{cases}$$

Unfortunately, this modified system is not an elliptic boundary value problem in the sense of Agmon-Douglis-Nirenberg [1]. Indeed, if  $w \in W^{2,r}(\Omega)$  for some  $1 < r < \infty$ , then we may rewrite (1.4) as

$$(1.5) \quad \begin{cases} -\Delta w = \operatorname{rot} u & \text{in } \Omega, \\ \operatorname{div} w = 0 & \text{on } \partial\Omega, \\ w \times \nu = 0 & \text{on } \partial\Omega, \end{cases}$$

which can be treated as an elliptic boundary value problem in the sense of Agmon-Douglis-Nirenberg. Since we need to solve (1.4) for an arbitrary given  $u \in L^r(\Omega)$ , we can expect only that  $w \in W^{1,r}(\Omega)$ , so the value  $\operatorname{div} w$  on the boundary  $\partial\Omega$  in (1.5) cannot be always well-defined. This means that we are not able to apply to (1.4) the fully established theory on existence and regularity of solutions to the elliptic boundary value problems. To get around such difficulty, we shall formulate (1.4) in a weak sense such as to find  $w \in W^{1,r}(\Omega)$  satisfying

$$(1.6) \quad \int_{\Omega} \operatorname{rot} w \cdot \operatorname{rot} \Phi dx = \int_{\Omega} u \cdot \operatorname{rot} \Phi dx$$

for all  $\Phi \in W^{1,r'}(\Omega)$  with  $\operatorname{div} \Phi = 0$  in  $\Omega$ ,  $\Phi \times \nu = 0$  on  $\partial\Omega$ , where  $r' = r/(r-1)$ . This procedure is similar to that of finding a scalar potential  $p$  in (1.1). Indeed,  $p \in W^{1,r}(\Omega)$  is obtained from the weak solution of the Neumann boundary problem for  $\Delta$  in  $\Omega$ .

$$(1.7) \quad \int_{\Omega} \nabla p \cdot \nabla \phi dx = \int_{\Omega} u \cdot \nabla \phi dx \quad \text{for all } \phi \in W^{1,r'}(\Omega).$$

Simader-Sohr [21] solved (1.7) by introducing a variational inequality in  $W^{1,r}(\Omega)$  which is a variant of coercive estimate of Dirichlet form associated to the operator  $-\Delta$ . Our proof for solvability of (1.4) is also based on the following variational inequality. In fact, we shall show that for every  $1 < r < \infty$ , there is a constant  $C$  such that

$$\begin{aligned}
& \|w\|_{W^{1,r}(\Omega)} \\
(1.8) \quad & \leq C \sup \left\{ \frac{\left| \int_{\Omega} \operatorname{rot} w \cdot \operatorname{rot} \Phi dx \right|}{\|\Phi\|_{W^{1,r'}(\Omega)}}; \Phi \in W^{1,r'}(\Omega), \operatorname{div} \Phi = 0 \text{ in } \Omega, \Phi \times \nu = 0 \text{ on } \partial\Omega \right\} \\
& + \sum_{i=1}^L \left| \int_{\Omega} w \cdot \psi_i dx \right|
\end{aligned}$$

holds for all  $w \in W^{1,r}(\Omega)$  with  $\operatorname{div} w = 0$  in  $\Omega$ ,  $w \times \nu = 0$  on  $\partial\Omega$ , where  $\{\psi_1, \dots, \psi_L\}$  is a basis of the finite dimensional space  $V_{har}(\Omega) = \{\psi \in C^\infty(\bar{\Omega}); \operatorname{rot} \psi = 0, \operatorname{div} \psi = 0 \text{ in } \Omega, \psi \times \nu|_{\partial\Omega} = 0\}$ . If  $\partial\Omega$  consists of  $L+1$  connected components  $\Gamma_0, \Gamma_1, \dots, \Gamma_L$  of disjoint surfaces with  $\Gamma_1, \dots, \Gamma_L$  inside of  $\Gamma_0$ , i.e.,  $\partial\Omega = \cup_{i=1}^L \Gamma_i$ , then we have  $\dim V_{har}(\Omega) = L$ . Similar investigation into the variational inequality was done by Greisinger [13] in the case when  $\Omega$  is a star-shaped domain. Compared with our situation, she treated the special case when  $V_{har}(\Omega) = \{0\}$ . Furthermore, since she took  $w$  in  $W^{1,r}(\Omega)$  with  $w = 0$  on  $\partial\Omega$ , it seems to be an open question whether the complement of the space (1.2) coincides with the vector space  $\nabla p$  with the scalar potential  $p \in W^{1,r}(\Omega)$  as in (1.1).

As an application of (1.2), we shall show the generalized Biot-Savard law for the vector field  $u$  in  $W^{1,r}(\Omega)$  with  $u \cdot \nu = 0$  on  $\partial\Omega$ . In the whole space  $\mathbb{R}^3$ , if  $v \in W^{1,r}(\mathbb{R}^3)$  with  $\operatorname{div} v = 0$ , then  $v$  can be represented as

$$v(x) = \int_{\mathbb{R}^3} K(x-y) \times \operatorname{rot} v(y) dy, \quad K(x) = -\frac{1}{4\pi} \frac{x}{|x|^3}$$

for all  $x \in \mathbb{R}^3$ . Since  $\nabla K(x)$  is a Calderon-Zygmund kernel, there holds

$$\begin{aligned}
\|\nabla v\|_{L^r(\mathbb{R}^3)} & \leq C \|\operatorname{rot} v\|_{L^r(\mathbb{R}^3)}, \\
\|\nabla v\|_{L^\infty(\mathbb{R}^3)} & \leq C \{1 + \|\operatorname{rot} v\|_{BMO} \log(e + \|v\|_{H^3(\mathbb{R}^3)})\}.
\end{aligned}$$

See e.g., Beale-Kato-Majda [2] and Kozono-Taniuchi [15]. In bounded domains  $\Omega$  in  $\mathbb{R}^3$ , our decomposition (1.2) gives the corresponding estimates

$$(1.9) \quad \|\nabla u\|_{L^r(\Omega)} \leq C(\|\operatorname{div} u\|_{L^r(\Omega)} + \|\operatorname{rot} u\|_{L^r(\Omega)} + \|u\|_{L^1(\Omega)}),$$

$$\begin{aligned}
(1.10) \quad & \|\nabla u\|_{L^\infty(\Omega)} \\
& \leq C \{1 + \|u\|_{L^r(\Omega)} + (\|\operatorname{div} u\|_{bmo} + \|\operatorname{rot} u\|_{bmo}) \log(e + \|u\|_{W^{s,r}(\Omega)})\}, \quad s > 1 + 3/r
\end{aligned}$$

provided  $u$  satisfies  $u \cdot \nu = 0$  on  $\partial\Omega$ . By means of the representation formula for  $u \in W^{1,r}(\Omega)$  given by Kress [17], von Wahl [26] obtained (1.9) without  $\|u\|_{L^1(\Omega)}$  on the right hand side when  $h$  always vanishes in (1.2). On the other hand, if  $u \in W^{1,r}(\Omega)$  with  $u \cdot \nu = 0$  on  $\partial\Omega$ , then our decomposition (1.2) yields necessarily such a representation formula as we can deduce (1.9) immediately. Since we need not impose any assumption on  $\Omega$ , our estimate (1.9) may be regarded as generalization of von Wahl [26]. Furthermore, we shall show the corresponding estimate for  $u$  in higher order Sobolev space  $W^{s,r}(\Omega)$  via  $\operatorname{div} u$  and  $\operatorname{rot} u$  in  $W^{s-1,r}(\Omega)$  even though  $u \cdot \nu$  or

$u \times \nu$  does not vanish on  $\partial\Omega$ . Concerning (1.10), by introducing a different elliptic system from (1.5), Ferrari [7], Shiota-Yanagisawa [22] and Ogawa-Taniuchi [19] gave the proof for  $u$  with  $\operatorname{div} u = 0$ ,  $u \cdot \nu|_{\partial\Omega} = 0$ . On the other hand, we shall see that these estimates can be derived directly from regularity theorem of weak solutions to (1.5). Since we need to impose neither assumption on  $\Omega$  nor  $\operatorname{div} u = 0$ , our estimates (1.9) and (1.10) may be regarded as generalization of [26], [7], [22] and [19].

Based on (1.10), they [7], [22], [19] proved an extension criterion via vorticity  $\operatorname{rot} v$  on local strong solutions  $v$  for the incompressible Euler equations. In article, we shall show the corresponding criterion for the Navier-Stokes equations. Compared with the Euler equations, we need to give a bound of boundary integral on  $\partial\Omega$  for  $-\Delta v$  to the solution  $v$  of the Navier-Stokes equations. To avoid such difficulty, we shall make use of (1.10) together with the estimate of fractional powers of the Stokes operator in  $L^r(\Omega)$ . Indeed, we shall show that if the strong solution  $v$  of the Navier-Stokes equations on  $\Omega \times (0, T)$  satisfies

$$(1.11) \quad \int_0^T \|\operatorname{rot} v(t)\|_{bmo} dt < \infty,$$

then  $v$  can be extended to the solution on  $\Omega \times (0, T')$  for some  $T' > T$ .

## 2 Results.

Let us first impose the following assumption on the domain  $\Omega$ :

**Assumption.**  $\Omega$  is a bounded domain in  $\mathbb{R}^3$  with the  $C^\infty$ -boundary  $\partial\Omega$ .

Before stating our results, we introduce some function spaces. Let  $C_{0,\sigma}^\infty(\Omega)$  denote the set of all  $C^\infty$ -vector functions  $\varphi = (\varphi^1, \varphi^2, \varphi^3)$  with compact support in  $\Omega$ , such that  $\operatorname{div} \varphi = 0$ .  $L_\sigma^r(\Omega)$  is the closure of  $C_{0,\sigma}^\infty(\Omega)$  with respect to the  $L^r$ -norm  $\|\cdot\|_r$ ;  $(\cdot, \cdot)$  denotes the duality pairing between  $L^r(\Omega)$  and  $L^{r'}(\Omega)$ , where  $1/r + 1/r' = 1$ .  $L^r(\Omega)$  stands for the usual (vector-valued)  $L^r$ -space over  $\Omega$ ,  $1 < r < \infty$ . Let us recall the generalized trace theorem for  $u \cdot \nu$  and  $u \times \nu$  on  $\partial\Omega$  defined on the spaces  $E_{div}^r(\Omega)$  and  $E_{rot}^r(\Omega)$ , respectively.

$$\begin{aligned} E_{div}^r(\Omega) &\equiv \{u \in L^r(\Omega); \operatorname{div} u \in L^r(\Omega)\} \text{ with the norm } \|u\|_{E_{div}^r} = \|u\|_r + \|\operatorname{div} u\|_r, \\ E_{rot}^r(\Omega) &\equiv \{u \in L^r(\Omega); \operatorname{rot} u \in L^r(\Omega)\} \text{ with the norm } \|u\|_{E_{rot}^r} = \|u\|_r + \|\operatorname{rot} u\|_r. \end{aligned}$$

It is known that there are bounded operators  $\gamma_\nu$  and  $\tau_\nu$  on  $E_{div}^r(\Omega)$  and  $E_{rot}^r(\Omega)$  with properties that

$$\begin{aligned} \gamma_\nu : u \in E_{div}^r(\Omega) &\mapsto \gamma_\nu u \in W^{1-1/r', r'}(\partial\Omega)^*, & \gamma_\nu u &= u \cdot \nu|_{\partial\Omega} \text{ if } u \in C^1(\bar{\Omega}), \\ \tau_\nu : u \in E_{rot}^r(\Omega) &\mapsto \tau_\nu u \in W^{1-1/r', r'}(\partial\Omega)^*, & \tau_\nu u &= u \times \nu|_{\partial\Omega} \text{ if } u \in C^1(\bar{\Omega}), \end{aligned}$$

respectively. We have the following the generalized Stokes formula

$$\begin{aligned} (2.1) \quad (u, \nabla p) + (\operatorname{div} u, p) &= \langle \gamma_\nu u, \gamma_0 p \rangle_{\partial\Omega} & \text{for all } u \in E_{div}^r(\Omega) \text{ and all } p \in W^{1, r'}(\Omega), \\ (2.2) \quad (u, \operatorname{rot} \phi) &= (\operatorname{rot} u, \phi) + \langle \tau_\nu u, \gamma_0 \phi \rangle_{\partial\Omega} & \text{for all } u \in E_{rot}^r(\Omega) \text{ and all } \phi \in W^{1, r'}(\Omega), \end{aligned}$$

where  $\gamma_0$  denotes the usual trace operator from  $W^{1,r'}(\Omega)$  onto  $W^{1-1/r',r'}(\partial\Omega)$ , and  $\langle \cdot, \cdot \rangle_{\partial\Omega}$  is the duality pairing between  $W^{1-1/r',r'}(\partial\Omega)^*$  and  $W^{1-1/r',r'}(\partial\Omega)$ . Notice that  $L_\sigma^r(\Omega) = \{u \in L^r(\Omega); \operatorname{div} u = 0 \text{ in } \Omega \text{ with } \gamma_\nu u = 0\}$ .

Let us define two spaces  $X^r(\Omega)$  and  $V^r(\Omega)$  for  $1 < r < \infty$  by

$$(2.3) \quad X^r(\Omega) \equiv \{u \in L^r(\Omega); \operatorname{div} u \in L^r(\Omega), \operatorname{rot} u \in L^r(\Omega), \gamma_\nu u = 0\},$$

$$(2.4) \quad V^r(\Omega) \equiv \{u \in L^r(\Omega); \operatorname{div} u \in L^r(\Omega), \operatorname{rot} u \in L^r(\Omega), \tau_\nu u = 0\}.$$

Equipped with the norms  $\|u\|_{X^r}$  and  $\|u\|_{V^r}$

$$(2.5) \quad \|u\|_{X^r}, \|u\|_{V^r} \equiv \|\operatorname{div} u\|_r + \|\operatorname{rot} u\|_r + \|u\|_r,$$

we may regard  $X^r(\Omega)$  and  $V^r(\Omega)$  as Banach spaces. Indeed, in Theorem 2 below, we shall see that both  $X^r(\Omega)$  and  $V^r(\Omega)$  are closed subspaces in  $W^{1,r}(\Omega)$  since it holds

$$(2.6) \quad \|\nabla u\|_r \leq C\|u\|_{X^r} \quad \text{for all } u \in X^r(\Omega) \quad \text{and} \quad \|\nabla u\|_r \leq C\|u\|_{V^r} \quad \text{for all } u \in V^r(\Omega),$$

respectively, where  $C = C(r)$  is a constant depending only on  $r$ . Furthermore, we define  $X_\sigma^r(\Omega)$  and  $V_\sigma^r(\Omega)$  by

$$(2.7) \quad X_\sigma^r(\Omega) \equiv \{u \in X^r(\Omega); \operatorname{div} u = 0 \text{ in } \Omega\}, \quad V_\sigma^r(\Omega) \equiv \{u \in V^r(\Omega); \operatorname{div} u = 0 \text{ in } \Omega\}.$$

Finally, we denote by  $X_{har}^r(\Omega)$  and  $V_{har}^r(\Omega)$  the  $L^r$ -spaces of harmonic vector fields on  $\Omega$

$$(2.8) \quad X_{har}^r(\Omega) \equiv \{u \in X_\sigma^r(\Omega); \operatorname{rot} u = 0\}, \quad V_{har}^r(\Omega) \equiv \{u \in V_\sigma^r(\Omega); \operatorname{rot} u = 0\}.$$

Our main result now reads

**Theorem 1** *Let  $\Omega$  be as in the Assumption. Suppose that  $1 < r < \infty$ .*

(1) *It holds*

$$\begin{aligned} X_{har}^r(\Omega) &= \{h \in C^\infty(\bar{\Omega}); \operatorname{div} h = 0, \operatorname{rot} h = 0 \text{ in } \Omega \text{ with } h \cdot \nu = 0 \text{ on } \partial\Omega\} (\equiv X_{har}(\Omega)), \\ V_{har}^r(\Omega) &= \{h \in C^\infty(\bar{\Omega}); \operatorname{div} h = 0, \operatorname{rot} h = 0 \text{ in } \Omega \text{ with } h \times \nu = 0 \text{ on } \partial\Omega\} (\equiv V_{har}(\Omega)). \end{aligned}$$

Both  $X_{har}(\Omega)$  and  $V_{har}(\Omega)$  are of finite dimensional vector space.

(2) *For every  $u \in L^r(\Omega)$ , there are  $p \in W^{1,r}(\Omega)$ ,  $w \in V_\sigma^r(\Omega)$  and  $h \in X_{har}(\Omega)$  such that  $u$  can be represented as*

$$(2.9) \quad u = h + \operatorname{rot} w + \nabla p.$$

Such a triplet  $\{p, w, h\}$  is subordinate to the estimate

$$(2.10) \quad \|\nabla p\|_r + \|w\|_{V^r} + \|h\|_r \leq C\|u\|_r$$

with the constant  $C = C(r)$  independent of  $u$ . The above decomposition (2.9) is unique. In fact, if  $u$  has another expression

$$u = \tilde{h} + \operatorname{rot} \tilde{w} + \nabla \tilde{p}$$

for  $\tilde{h} \in X_{har}(\Omega)$ ,  $\tilde{w} \in V_\sigma^r(\Omega)$  and  $\tilde{p} \in W^{1,r}(\Omega)$ , then we have

$$(2.11) \quad h = \tilde{h}, \quad \operatorname{rot} w = \operatorname{rot} \tilde{w}, \quad \nabla p = \nabla \tilde{p}.$$

An immediate consequence of the above theorem is

**Corollary 1** *Let  $\Omega$  be as in the Assumption. By the unique decomposition (2.9) we have*

$$(2.12) \quad L^r(\Omega) = X_{har}(\Omega) \oplus \text{rot } V_\sigma^r(\Omega) \oplus \nabla W^{1,r}(\Omega), \quad 1 < r < \infty. \quad (\text{direct sum})$$

*Let  $S_r, R_r$  and  $Q_r$  be projection operators associated to (2.9) from  $L^r(\Omega)$  onto  $X_{har}(\Omega), \text{rot } V_\sigma^r(\Omega)$  and  $\nabla W^{1,r}(\Omega)$ , respectively, i.e.,*

$$(2.13) \quad S_r u \equiv h, \quad R_r u \equiv \text{rot } w, \quad Q_r u \equiv \nabla p.$$

*Then we have*

$$(2.14) \quad \|S_r u\|_r \leq C \|u\|_r, \quad \|R_r u\|_r \leq C \|u\|_r, \quad \|Q_r u\|_r \leq C \|u\|_r$$

*for all  $u \in L^r(\Omega)$ , where  $C = C(r)$  is the constant depending only on  $1 < r < \infty$ . Moreover, there holds*

$$(2.15) \quad \left\{ \begin{array}{ll} S_r^2 = S_r, & S_r^* = S_{r'}, \\ R_r^2 = R_r, & R_r^* = R_{r'}, \\ Q_r^2 = Q_r, & Q_r^* = Q_{r'}, \end{array} \right.$$

*where  $S_r^*, R_r^*$  and  $Q_r^*$  denote the adjoint operators on  $L^{r'}(\Omega)$  of  $S_r, R_r$  and  $Q_r$ , respectively.*

**Remark 1.** (1) It is known that

$$(2.16) \quad L^r(\Omega) = L_\sigma^r(\Omega) \oplus \nabla W^{1,r}(\Omega), \quad 1 < r < \infty, \quad (\text{direct sum}).$$

See Fujiwara-Morimoto [10], Solonnikov [23] and Simader-Sohr [21]. Our decomposition (2.12) gives a more precise direct sum of  $L_\sigma^r(\Omega)$  such as

$$(2.17) \quad L_\sigma^r(\Omega) = X_{har}(\Omega) \oplus \text{rot } V_\sigma^r(\Omega), \quad 1 < r < \infty. \quad (\text{direct sum})$$

(2) Suppose that the boundary  $\partial\Omega$  has  $L + 1$  connected components  $\Gamma_0, \Gamma_1, \dots, \Gamma_L$  of  $C^2$ -surfaces such that  $\Gamma_1, \dots, \Gamma_L$  lie inside of  $\Gamma_0$  with  $\Gamma_i \cap \Gamma_j = \emptyset$  for  $i \neq j$ , and such that

$$(2.18) \quad \partial\Omega = \bigcup_{j=0}^L \Gamma_j.$$

Moreover, we assume that there are  $N$   $C^2$ -surfaces  $\Sigma_1, \dots, \Sigma_N$  such that  $\Sigma_i \cap \Sigma_j = \emptyset$  for  $i \neq j$ , and such that

$$(2.19) \quad \dot{\Omega} \equiv \Omega \setminus \Sigma, \quad \Sigma \equiv \bigcup_{j=1}^N \Sigma_j \quad \text{is simply connected.}$$

Then Foias-Temam [8] showed that

$$(2.20) \quad \dim.X_{har}(\Omega) = N.$$

They [8] also gave an orthogonal decomposition of  $L_\sigma^2(\Omega)$  such as

$$L_\sigma^2(\Omega) = X_{har}(\Omega) \oplus H_1(\Omega) \quad (\text{orthogonal sum in } L^2(\Omega)),$$

where

$$H_1(\Omega) \equiv \{u \in L^2_\sigma(\Omega); \int_{\Sigma_j} u \cdot \nu dS = 0, \quad j = 1, \dots, N\}.$$

Yoshida-Giga [28] investigated the operator  $\text{rot}$  with its domain  $D(\text{rot}) = \{u \in H_1(\Omega); \text{rot } u \in H_1(\Omega)\}$  and showed that  $H_1(\Omega) = \text{rot } V^2_\sigma(\Omega)$ . Furthermore, they [28] gave another type of orthogonal  $L^2$ -decomposition of vector fields  $u \in D(\text{rot})$ . From our decomposition (2.17) with  $r = 2$ , it follows also that  $H_1(\Omega) = \text{rot } V^2_\sigma(\Omega)$ .

(3) In the case when  $\Omega$  is a star-shaped domain, Griesinger [13] gave a similar decomposition in  $L^r(\Omega)$  for  $1 < r < \infty$ . In her case, it holds  $N = 0$ . Since she took the smaller space  $W^{1,r}_0(\Omega)$  than our space  $V^r(\Omega)$ , it seems to be an open question whether, in the same way as in (2.12), the annihilator  $\text{rot } W^{1,r}_0(\Omega)^\perp$  of  $\text{rot } W^{1,r}_0(\Omega)$  in  $L^{r'}(\Omega)$  coincides with  $\nabla W^{1,r'}(\Omega)$ .

As an application of our decomposition, we have the following gradient and higher order estimates of vector fields via  $\text{div}$  and  $\text{rot}$ .

**Theorem 2** *Let  $\Omega$  be as in the Assumption. Suppose that  $1 < r < \infty$ .*

(1) *(in case  $\gamma_\nu$ ) Let  $\dim X_{\text{har}}(\Omega) = N$  and let  $\{\phi_1, \dots, \phi_N\}$  be a basis of  $X_{\text{har}}(\Omega)$ .*

(i) *It holds  $X^r(\Omega) \subset W^{1,r}(\Omega)$  with the estimate*

$$(2.21) \quad \|\nabla u\|_r + \|u\|_r \leq C(\|\text{div } u\|_r + \|\text{rot } u\|_r + \sum_{j=1}^N |(u, \phi_j)|) \quad \text{for all } u \in X^r(\Omega),$$

where  $C = C(\Omega, r)$ .

(ii) *Let  $s \geq 1$ . Suppose that  $u \in L^r(\Omega)$  with  $\text{div } u \in W^{s-1,r}(\Omega)$ ,  $\text{rot } u \in W^{s-1,r}(\Omega)$  and  $\gamma_\nu u \in W^{s-1/r,r}(\partial\Omega)$ . Then we have  $u \in W^{s,r}(\Omega)$  with the estimate*

$$(2.22) \quad \begin{aligned} & \|u\|_{W^{s,r}(\Omega)} \\ & \leq C(\|\text{div } u\|_{W^{s-1,r}(\Omega)} + \|\text{rot } u\|_{W^{s-1,r}(\Omega)} + \|\gamma_\nu u\|_{W^{s-1/r,r}(\partial\Omega)} + \sum_{j=1}^N |(u, \phi_j)|), \end{aligned}$$

where  $C = C(\Omega, r)$ .

(2) *(in case  $\tau_\nu u$ ) Let  $\dim V_{\text{har}}(\Omega) = L$  and let  $\{\psi_1, \dots, \psi_L\}$  be a basis of  $V_{\text{har}}(\Omega)$ .*

(i) *It holds  $V^r(\Omega) \subset W^{1,r}(\Omega)$  with the estimate*

$$(2.23) \quad \|\nabla u\|_r + \|u\|_r \leq C(\|\text{div } u\|_r + \|\text{rot } u\|_r + \sum_{j=1}^L |(u, \psi_j)|) \quad \text{for all } u \in V^r(\Omega),$$

where  $C = C(\Omega, r)$ .

(ii) *Let  $s \geq 1$ . Suppose that  $u \in L^r(\Omega)$  with  $\text{div } u \in W^{s-1,r}(\Omega)$ ,  $\text{rot } u \in W^{s-1,r}(\Omega)$  and  $\tau_\nu u \in W^{s-1/r,r}(\partial\Omega)$ . Then we have  $u \in W^{s,r}(\Omega)$  with the estimate*

$$(2.24) \quad \begin{aligned} & \|u\|_{W^{s,r}(\Omega)} \\ & \leq C(\|\text{div } u\|_{W^{s-1,r}(\Omega)} + \|\text{rot } u\|_{W^{s-1,r}(\Omega)} + \|\tau_\nu u\|_{W^{s-1/r,r}(\partial\Omega)} + \sum_{j=1}^L |(u, \psi_j)|), \end{aligned}$$

where  $C = C(\Omega, r)$ .

(3) ( $L^\infty$ -gradient bound) Let  $u \in W^{s,r}(\Omega)$  for  $s > 1 + 3/r$  with  $u \cdot \nu|_{\partial\Omega} = 0$  or  $u \times \nu|_{\partial\Omega} = 0$ . Then we have  $\nabla u \in L^\infty$  with the estimate

$$(2.25) \quad \|\nabla u\|_\infty \leq C \left\{ 1 + \|u\|_r + (\|\operatorname{div} u\|_{bmo} + \|\operatorname{rot} u\|_{bmo}) \log(e + \|u\|_{W^{s,r}(\Omega)}) \right\},$$

where  $C = C(r)$  is the constant independent of  $u$ . For definition of the  $bmo$ -norm, see Remark 2 below.

**Remark 2.** (1) Let us recall the  $bmo$ -norm in  $\Omega$ . For  $f \in L^1_{loc}(\mathbb{R}^3)$ , we define  $\|f\|_{bmo(\mathbb{R}^3)}$  by

$$\|f\|_{bmo(\mathbb{R}^3)} = \sup_{x \in \mathbb{R}^3, 0 < R < 1} \frac{1}{|B_R(x)|} \int_{B_R(x)} |f(y) - f_{B_R(x)}| dy + \sup_{x \in \mathbb{R}^3} \frac{1}{|B_1(x)|} \int_{B_1(x)} |f(y)| dy$$

with  $f_{B_R(x)} = \frac{1}{|B_R(x)|} \int_{B_R(x)} f(y) dy$ , where  $B_R(x)$  denotes the ball in  $\mathbb{R}^3$  centered at  $x$  with radius  $R$  and  $|B_R(x)|$  is its volume. For  $g \in L^1_{loc}(\Omega)$  we say  $g \in bmo(\Omega)$  if there is an extension  $f \in bmo(\mathbb{R}^3)$  such that  $g = f$  on  $\Omega$ . The  $bmo$ -norm  $\|g\|_{bmo}$  of  $g$  on  $\Omega$  is defined by

$$\|g\|_{bmo} \equiv \inf \{ \|f\|_{bmo(\mathbb{R}^3)}; f \in bmo(\mathbb{R}^3), f = g \text{ on } \Omega \}.$$

(2) von Wahl [26] treated the homogeneous gradient bound such as

$$\|\nabla u\|_r \leq C(\|\operatorname{div} u\|_r + \|\operatorname{rot} u\|_r)$$

for  $u \in W^{1,r}(\Omega)$  with  $\gamma_\nu u = 0$  and  $\tau_\nu u = 0$ . He proved that such a homogeneous estimate holds if and only if  $N = 0$ , i.e.,  $\Omega$  is simply connected in the case  $\gamma_\nu u = 0$ , and if and only if  $L = 0$ , i.e.,  $\Omega$  has only one connected component of the boundary  $\partial\Omega$  in the case  $\tau_\nu u = 0$ , respectively. Our variational inequality (1.8) makes it possible to prove (2.21) and (2.23) for an arbitrary bounded domain  $\Omega$ . So, von Wahl's estimate [26] may be regarded as a special case of ours since our Assumption on  $\Omega$  includes such cases as (2.18) and (2.19). His method is based on the representation formula for  $u \in W^{1,r}(\Omega)$  via  $\operatorname{div} u$  and  $\operatorname{rot} u$  which is different from ours. Similar estimate to (2.22) with  $\sum_{j=1}^N |(u, \phi_j)|$  replaced by  $\|u\|_r$  was obtained by Temam [25, Proposition 1.4, Appendix I] for  $s \geq 1$ ,  $r = 2$  and by Bourguignon-Brezis [4, Lemma 5] for  $s \geq 2$ ,  $1 < r < \infty$ , respectively. See also Duvaut-Lions [6, Theorem 6.1, Chapter 7].

(3) In  $\mathbb{R}^3$ , by means of the Biot-Savard law, Beale-Kato-Majda [2] and Kozono-Taniuchi [15] obtained a similar estimate to (2.25) for  $u \in W^{s,r}(\mathbb{R}^3)$  with  $s > 1 + 3/r$ . More generalized version in the homogeneous Besov space  $\dot{B}^0_{\infty,\infty}$  is found in Kozono-Ogawa-Taniuchi [16]. In the case of simply connected bounded domains  $\Omega$  in  $\mathbb{R}^3$ , Ferrari showed (2.25) for  $\operatorname{div} u = 0$  with  $u \cdot \nu|_{\partial\Omega} = 0$ . More general case such as (2.18) and (2.19) was treated by Shirota-Yanagisawa [22] and Ogawa-Taniuchi [19].

As an application of Theorem 2, we show an extension criterion of strong solutions of the nonstationary Navier-Stokes equations.

$$(N-S) \quad \begin{cases} \partial_t u - \Delta u + u \cdot \nabla u + \nabla p = 0 & \text{in } x \in \Omega, t > 0, \\ \operatorname{div} u = 0 & \text{in } x \in \Omega, t > 0, \\ u = 0 & \text{on } x \in \partial\Omega, t > 0, \\ u|_{t=0} = a \end{cases}$$



It is shown by Fujita-Kato [9], Giga-Miyakawa [11] and Kato[14] that for every  $a \in L^r_\sigma$  with  $3 \leq r < \infty$ , there are  $T > 0$  and a unique solution  $u$  of (N-S) on  $(0, T)$  such that

$$(2.26) \quad u \in C([0, T]; L^r_\sigma(\Omega)) \cap C^1((0, T); L^r_\sigma(\Omega)) \cap C((0, T); W^{2,r}(\Omega)).$$

It is an interesting question whether the solutions  $u(t)$  blows up at  $t = T$  or can be continued beyond  $T$ . Our second result now reads

**Theorem 3** *Let  $\Omega$  be as in the Assumption. Suppose that  $u$  is the solution of (N-S) on  $(0, T)$  in the class (2.26). If*

$$(2.27) \quad \int_0^T \|\text{rot } u(t)\|_{bmo} dt < \infty,$$

*then there is  $T' > T$  such that  $u$  can be continued to the solution of (N-S) on  $(0, T')$  in the same class as (2.27).*

An immediate consequence of the above theorem is

**Corollary 2** *Let  $\Omega$  be as in the Assumption. Suppose that  $u$  is the solution of (N-S) on  $(0, T)$  in the class (2.26). If  $T$  is the maximal, then we have*

$$(2.28) \quad \lim_{t \rightarrow T-0} \int_0^t \|\text{rot } u(s)\|_{bmo} ds = \infty.$$

*In particular, it holds  $\limsup_{t \rightarrow T-0} \|\text{rot } u(t)\|_{bmo} = \infty$ .*

**Remark 3.** (1) For the Euler equations in the whole space  $\mathbb{R}^3$ , Beale-Kato-Majda [2] first proved the above extension criterion in the case  $\int_0^T \|\text{rot } u(t)\|_{L^\infty} dt < \infty$ . Later on, Kozono-Taniuchi [15] and Kozono-Taniuchi-Ogawa [16] proved it under the weaker norm such as  $BMO$  and the homogeneous Besov space  $\dot{B}^0_{\infty, \infty}$ . It should be noted that there holds the continuous inclusion  $L^\infty \subset BMO \subset \dot{B}^0_{\infty, \infty}$ .

(2) For the Euler equations in bounded domains  $\Omega$  in  $\mathbb{R}^3$ , Ferrari [7] treated the case when  $\Omega$  is simply connected and obtained the same criterion as Beale-Kato-Majda [2] in  $\mathbb{R}^3$ . Shirota-Yanagisawa [22] succeeded to deal with the general case of multi-connected domains  $\Omega$  such as (2.18) and (2.19). Then Ogawa-Taniuchi [19] improved their results in terms of weaker norm  $bmo$  on  $\Omega$ .

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